

# DecLog: Decentralized Logging in Non-Volatile Memory for Time Series Database Systems

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# ABSTRACT

Growing demands for the efficient processing of extreme-scale time series workloads call for more capable time series database management systems (TSDBMS). Specifically, to maintain consistency and durability of transaction processing, systems employ write-ahead logging (WAL) whereby transactions are committed only after the related log entries are flushed to disk. However, when faced with massive I/O, this becomes a throughput bottleneck. Recent advances in byte-addressable Non-Volatile Memory (NVM) provide opportunities to improve logging performance by persisting logs to NVM instead. Existing studies typically track complex transaction dependencies and use barrier instructions of NVM to ensure log ordering. In contrast, few studies consider the heavy-tailed characteristics of time series workloads, where most transactions are independent of each other. We propose DecLog, a decentralized NVM-based logging system that enables concurrent logging of TSDBMS transactions. Specifically, we propose data-driven log sequence numbering and relaxed ordering strategies to track transaction dependencies and resolve serialization issues. We also propose a parallel logging method to persist logs to NVM after being compressed and aligned. An experimental study on the YCSB-TS benchmark offers insight into the performance properties of DecLog, showing that it improves throughput by up to  $4.6 \times$  while offering lower recovery time in comparison to the open source TSDBMS Beringei.

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The source code, data, and/or other artifacts have been made available at https://github.com/Chriszblong/DecLog.

# **1 INTRODUCTION**

We are witnessing growing demands for time series data management from real-world applications in areas such as cluster monitoring [48], finance [80], and medicine [17], as well as the Internet of Things (IoT) [73]. Traditional relational online transaction processing (OLTP) systems have been joined, and in part replaced, gradually by time series database management systems (TSDBMS) such as InfluxDB [6], TimescaleDB [11], Monarch [13], Gorilla [58], and Apache IoTDB [66]. In TimescaleDB, transactions that exclusively consist of insert operations are referred to as insert transactions, while transactions that include update or delete operations are referred to as update transactions. To improve throughput and query processing performance, TSDBMSs often write the most recent time series data to DRAM. However, data may be lost when a system failure occurs due to the volatility of DRAM. To maintain the atomicity and durability transactions, write-ahead logging (WAL) is adopted widely [32]. When a transaction attempts to write data to the database, a corresponding log entry is written first, and the transaction is committed only after its log entries are flushed to non-volatile storage. Data that is lost due to a system failure can then be recovered by replaying the log. As WAL is on the critical path of transaction execution, the throughput depends on the logging performance.

Most existing DBMSs adopt the centralized ARIES [50, 51] logging approach to compute a log sequence number (LSN) for each log entry, ensuring that the log entries and transaction commits are ordered consistently. However, ARIES does not scale well on modern multi-threaded CPUs since it relies on a single thread for logging to serve transactions processed in multiple threads, which can cause serious concurrency contention [61, 69, 79]. To improve logging performance, studies propose decentralized logging approaches that persist log records on block storage media, such as Solid State Drives (SSD) and Hard Disk Drives (HDD) [26, 31-33, 61, 72, 79, 81]. However, logging remains a bottleneck for transaction processing throughput. We use the Yahoo! Cloud System Benchmark-Time Series (YCSB-TS) to compare the throughput of InfluxDB, TimescaleDB, and Beringei. The results are shown in Figure 1, where WAL-HDD and WAL-SSD denote that HDD and SSD are used for logging, respectively, and WAL-DRAM denotes that DRAM is used for logging, which eliminates the I/O cost of logging and

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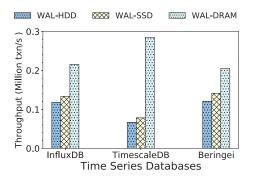


Figure 1: Comparison of TSDBMS

yields the best performance possible. We observe that the throughput of WAL-SSD is improved by 15.7% on average compared to that of WAL-HDD, while WAL-DRAM improves performance considerably. The results show that a substantial performance optimization space remains for WAL.

In 2019, Intel's Optane PMem based on 3D XPoint (PMem) [9] was released and became the world's first commercially available byte-addressable non-volatile memory (NVM). Its write latency is comparable to that of DRAM, and the read latency is about 2–3 times that of DRAM. Therefore, recent studies [1, 15, 16, 19, 23, 28, 38, 40, 55, 57, 59, 65, 67, 68, 72] propose to store logs in NVM to improve throughput for OLTP systems. However, since TSDBMS transactions differ considerably from OLTP transactions, the use of NVM for TSDBMS logging still faces the unaddressed challenges:

- **Dependency capture**. Due to the heavy-tailed characteristics of time series workloads, most operations are insertions and queries on the most recent data [45]. Updates and deletes of historical data are rare. Further, the data accessed by update transactions is relatively scattered, with few inter dependencies. How to best design logging to efficiently capture the dependencies among time series transactions is the first challenge.
- Failure atomicity. Existing methods rely on *sfence* instructions [4] to ensure correct ordering of log entries, which increases the overhead of logging. However, insert transactions can be executed concurrently without ordering constraints on log entries. Further, although updates in TSDBMSs are rare, update transactions with conflicts must be serializable. How to reduce *sfence* instructions while ensuring correctness is the second challenge.
- Synchronization. With more threads available to transactions, centralized logging increasingly causes contention. If we simply adopt parallel logging, additional overhead is incurred by the need for synchronization of multiple logging threads. How to design thread synchronization that enables high logging performance is the third challenge.

We propose DecLog, a decentralized logging system that considers the characteristics of time series data and adopts a three-tier structure of DRAM + NVM + HDD/SSD to persist logs in NVM and the data in HDD/SSD. DecLog features four main innovations: datadriven LSNs, a relaxed ordering strategy, parallel logging, and log alignment with compression. In addition, a checkpoint module that does not block insertions is designed that exploits the insert-heavy characteristic of time series data. DecLog also includes a recovery algorithm to achieve fast startup after system failures. In summary, the key contributions are as follows.

- We propose a data-driven LSN approach that exploits the data accessed by transactions to alleviate the computation overhead of the centralized logging and that tracks transaction dependencies based on the characteristics of time series data.
- We propose a relaxed ordering strategy to persist log entries in NVM by using a log flushing pipeline, which can effectively reduce the number of *sfence* instructions, thus reducing the negative impact of log ordering constraints on the concurrency, while guaranteeing atomicity.
- We propose a thread snapshot based parallel logging method to achieve multi-threaded synchronization, the goal being to improve scalability and decrease synchronization overhead.
- We propose a group commit method with log compression and an alignment algorithm to reduce the storage footprint of logs and to fully utilize the write performance of NVM.
- We report on an extensive performance study using the YCSB-TS benchmark, which offers evidence that DecLog is able to improve transaction throughput by up to 4.6× with less recovery time.

The remaining of the paper is organized as follows. Sec. 2 reviews related work. We provide the background and motivation in Sec. 3. Sec. 4 presents the specific design of DecLog. The experimental study is presented in Sec. 5, and Sec. 6 concludes the paper.

# 2 RELATED WORK

# 2.1 Time Series Databases

Most TSDBMSs, such as Prometheus [10], Apache Druid [5], and OpenTSDB [3] adopt the widely used Log Structured Merge Tree (LSM-Tree) [54] to ingest massive time series data into permanent storage (HDDs, SSDs). As one of the most popular TSDBMSs, InfluxDB [6] includes a Time Structured Merge Tree (TSM-Tree) to improve insertion performance. In contrast, other TSDBMSs adopt an in-memory storage model to enhance both insertion and query performance. Monarch [13], a globally-distributed TSDBMS, keeps data in DRAM and offers a regionalized architecture to cater for high performance data monitoring and alerting. Scuba [12] automatically expires data (e.g., only maintains data for 1-2 weeks or hours) and keeps the most recent data in-memory. Kdb+ [8] is a column-oriented TSDBMS based on the concept of ordered list, which exploits L1/2 CPU caches according to a small memory footprint (<800kB). Beringei [22] is an open source TSDBMS based on Gorilla [58] that features a delta-of-delta and XOR compression algorithm to process large-scale time series data in DRAM backed by permanent storage for persistence. Due to its low coupling property, the prototype of DecLog is implemented on top of Beringei.

# 2.2 Write-Ahead Logging

Whenever time series data is inserted into a TSDBMS, the corresponding log entries should be flushed to permanent storage beforehand. This easily makes logging a bottleneck of data insertion. As few studies of WAL in TSDBMS can be found, so we cover studies of WAL in relational and key-value DBMSs, which fall into two main categories. **Centralized Logging**. Most systems use centralized ARIES-style [51] WAL for recovery [31]. However, ARIES does not scale well on multi-core processors, as observed in recent studies [31, 61, 69, 79]. Aether [31] proposes a scalable logging approach that utilizes a consolidation array to advance the safe LSN boundary and allow locks to be released earlier, thus reducing lock contention. ELEDA [33] proposes a concurrent data structure called Grasshopper to track on-the-fly logging efficiently. Border-Collie [35] provides a wait-free and read-optimal algorithm for logging, which finds a recoverable logging boundary and completes required operations in a finite number of steps.

Decentralized Logging. Most decentralized logging systems focus on reducing dependencies between log threads to minimize synchronization overhead. Silo [61, 79] implements an epoch-based decentralized logging system that copies transaction-local redo logs to a per-thread log buffer after validation, thus adopting the optimistic concurrency control (OCC). Wang et al. [67] utilize logical clocks [39] to record a Global Sequence Number (GSN) instead of an LSN for each transaction, page, and log. Also, a passive batch commit strategy is utilized to realize the synchronization between multi-thread loggings. Haubenschild et al. [26] extend GSN based logging and propose a Remote Flush Avoidance (RFA) protocol to reduce the dependency between logs. Taurus [72] features parallel logging and employs an LSN vector to track transaction dependencies. Although these studies realize synchronization effectively, additional protocol and instruction overheads are introduced. In addition, when determining the transaction log order, they do not consider the data accessed by transactions. Unlike these studies, DecLog implements a data-driven LSN method inspired by TicToc [77] to reduce overhead, and it utilizes a synchronization strategy with a logging thread snapshot.

# 2.3 Non-Volatile Memory and Usage

Studies of systems that utilize NVM can be classified into four categories: NVM B+ trees [14, 20, 30, 44, 47, 56, 64, 76], NVM hashing [21, 27, 41, 46, 52, 70, 71, 82, 83], NVM storage engines [15, 34, 38, 40, 42, 60, 62, 74, 78], and NVM logging [16, 19, 23, 28, 37, 59, 67, 70]. Most of the studies focus on reducing the number of sfence instructions to improve the write performance on NVM while guaranteeing atomicity. Fang et al. [23] offer an OS calling interface and a log storage manager for NVM to optimize logging performance. Huang et al. [28] maintain a ring buffer in NVM to mitigate performance degradation caused by the space allocation. All log entries for the same transaction are connected by a doubly linked list so that log entries of the same transaction can be found quickly during recovery. Write-behind logging (WBL) [16] employs a hybrid storage structure with DRAM and NVM that enables transactions to be executed and persisted in NVM directly. After data is written to NVM, the corresponding log entry can be flushed to NVM. So only the timestamp of each committed transaction needs to be recorded in the log entry. Pelly et al. [59] implement a DBMS in NVM to achieve fast failure recovery. They propose a group commit method to reduce the overhead of sfence instructions, which only needs to persist the undo logs for each group of transactions. DecLog

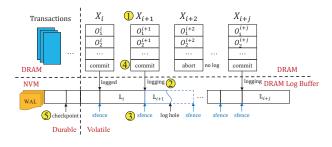


Figure 2: Logging in NVM

proposes a similar alignment and group commit method, but exploits the characteristics of time series data to improve the logging performance in NVM.

#### **3 BACKGROUND AND MOTIVATION**

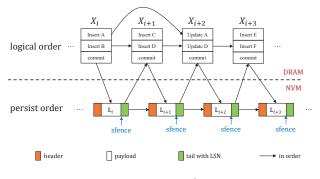
We proceed to cover the background knowledge and then provide motivation for DecLog.

# 3.1 Motivating Example

Some recent studies [15, 16, 19, 23, 26, 28, 37, 67] utilize NVM to improve logging performance. To ensure data consistency after recovery, the log order in NVM must be consistent with the transaction commitment order. Due to instruction optimization in modern compilers and multi-core CPUs, instruction reordering may cause inconsistencies between different CPU cores. Therefore, when we use the *clwb* instruction to write logs to NVM, the *sfence* instruction [4] must ensure that log entries are persisted in order [29]. In the X86 architecture, the sfence instruction sends a cache invalidation signal to the CPU buffer and flushes the data stored in the buffer to the L1 CPU cache. It also prohibits the CPU from reordering store instructions before and after the sfence instruction, which enables all memory updates before the store barrier to be visible for multi-cores [4]. However, the sfence instruction requires a long CPU delay to wait for the *clwb* instruction to complete, which incurs a high execution overhead [24, 75]. The heavy use of sfence instructions degrades the logging performance and thus affects the transaction throughput.

**Logging in NVM**. Fig. 2 illustrates the logging process in NVM. Let  $X_i$  denote the *i*-th transaction, and let  $O^i$  denote the operations in  $X_i$ , including insert, update, delete, and read. Further,  $\mathbb{L}_i$  denotes the log entry of transaction  $X_i$ , which has three parts: a header that records meta information; a payload, where the data resides; and a tail that contains the commit flag and LSN. Using transaction  $X_{i+1}$ as an example, the logging process is as follows:

- (1) Transaction  $X_{i+1}$  is ready to commit.
- ② An entry L<sub>i+1</sub> for X<sub>i+1</sub> is generated, and its LSN is computed based on the log size |L<sub>i+1</sub>| and the LSN of the previous transaction, i.e., LSN<sub>i+1</sub> = LSN<sub>i</sub> + |L<sub>i+1</sub>|. The current entry L<sub>i+1</sub> with LSN<sub>i+1</sub> is written to NVM.
- ③ The *sfence* instruction is used to ensure that the head and payload are persisted before the tail, which guarantees the failure atomicity. After L<sub>i+1</sub> is persisted, a success flag is returned for transaction X<sub>i+1</sub>.



**Figure 3: Strict Ordering** 

- (4) Transaction  $X_{i+1}$  is committed, and its updates become visible to subsequent transactions.
- (5) The checkpoint thread periodically executes in the background, writing dirty pages in DRAM to permanent storage and deleting the corresponding log entries. During recovery, log entries are read from NVM and used for redo operations in order. If a log entry has no log tail, meaning that the transaction has not committed, it is discarded directly.

**Strict Ordering Strategy**. The above process relies on the *sfence* instruction to ensure a strict ordering of log entries. On the one hand, *sfence* ensures that the head and payload are persisted before the tail of an entry. Without *sfence*, instruction reordering by a compiler and CPU may cause a tail to be persisted before the corresponding head and payload. If a failure occurs at this time, the recovery process incorrectly identifies the transaction as commitment based on the log tail, which leads to an inconsistent recovery. On the other hand, the *sfence* instruction ensures that log entries are persisted in order of their LSNs, in turn ensuring that log entries can be executed in a correct order during recovery.

Fig. 3 illustrates the strict ordering strategy and its negative impact on transaction execution. Four transactions  $X_i, \ldots, X_{i+3}$  are executed concurrently in four threads. The *sfence* in log entry  $\mathbb{L}_{i+2}$ is necessary because a dependency exists between  $X_{i+2}$ ,  $X_i$ , and  $X_{i+1}$ . The concurrency control protocol schedules  $X_{i+2}$  to execute after  $X_i$  and  $X_{i+1}$ , and the *sfence* in  $\mathbb{L}_{i+2}$  ensures that  $\mathbb{L}_i$  and  $\mathbb{L}_{i+1}$ are persisted before  $\mathbb{L}_{i+2}$ . However, this strict ordering for logging in NVM may result in transaction serialization issues, which reduces transaction throughput. For instance, transactions  $X_i$  and  $X_{i+1}$  insert four data items at different positions. Before  $X_i$  and  $X_{i+1}$ commit, they have to wait for entries  $\mathbb{L}_i$  and  $\mathbb{L}_{i+1}$  to be persisted, where the *sfence* in  $\mathbb{L}_i$  causes  $\mathbb{L}_i$  and  $\mathbb{L}_{i+1}$  to be serialized so that  $X_{i+1}$  has to commit after  $X_i$ . However, as no dependencies exist between  $X_i$  and  $X_{i+1}$ , they can execute concurrently.

In a nutshell, although NVM is able to improve logging performance and enhance transaction throughput, the heavy use of *sfence* instructions caused by the strict ordering strategy leads to significant waiting by the CPU, and thus reduces performance. Further, the transaction serialization caused by centralized LSN computation reduces throughput. In addition, it is difficult to persist log entries with dependencies in parallel due to the thread synchronization overhead.

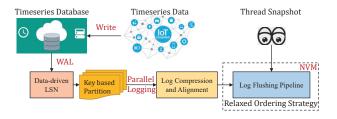


Figure 4: Framework Overview of DecLog

#### 3.2 Framework Overview

To eliminate the limitations mentioned above and to improve logging performance in NVM by utilizing the characteristics of time series data, we propose DecLog, a decentralized logging system, an overview of which is shown in Fig. 4. The system has four key elements: (1) *data-driven LSN* (Sec. 4.1), (2) a *relaxed ordering strategy* (Sec. 4.2), (3) *parallel logging* (Sec. 4.3), and (4) *log compression and alignment* (Sec. 4.4).

When time series data is inserted or updated in the TSDBMS, corresponding logs are generated, and the LSN of each log is computed by the data-driven LSN module. Next, the parallel logging module assigns the log entries to different log threads according to the key values. After the logs are compressed and aligned by the log compression and alignment module, they are persisted to NVM by using the relaxed ordering strategy. Further, the log flushing pipeline is used to flush logs to NVM concurrently, and thread snapshotting is implemented to achieve synchronization among logging threads.

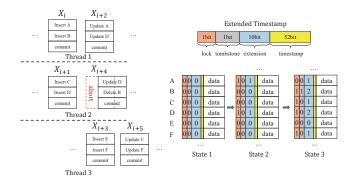
# 4 DECENTRALIZED LOGGING

We proceed to introduce the proposed decentralized logging system DecLog, which utilizes both the characteristics of time series and NVM to improve the logging performance in TSDBMS.

# 4.1 Data-driven LSN

An LSN is a unique identifier of a log entry that ensures that the ordering of WALs in permanent storage is consistent with that of the transaction commits. During recovery, a TSDBMS replays the WAL to restore a consistent state. Most existing studies adopt a centralized logging approach and compute LSNs to determine the log ordering. However, the LSN computation may result in transaction serialization issues, which reduces throughput. Therefore, decentralized logging approaches are proposed to replace centralized ones to improve the logging performance. Two main categories of approaches exist: Epoch-based logging [18, 61, 79] and global sequence number (GSN) based logging [26, 67]. Although these proposals avoid concurrent contention, additional protocol and instruction overheads are introduced. In addition, they only consider epochs or GSNs, but neglect the data accessed by transactions that can be used to determine whether transactions should be serialized.

Therefore, to effectively avoid the transaction serialization issue and improve the concurrent write capability of WAL in TSDBMSs, we propose data-driven LSNs based on the characteristics of time series data. In order to compute LSNs, we design an extended timestamp data structure and an LSN computation protocol.



**Figure 5: LSN Computation** 

4.1.1 **Extended Timestamp**. We first introduce the extended timestamp data structure. The timestamp field of a time series data point is usually 64 bits, but it suffices to use only 52 bits to represent a timestamp at microsecond precision, which satisfies the requirements of most real-world applications. Therefore, to fully utilize the timestamp field, we modify its structure to achieve an extended timestamp field. As shown in Fig. 5, the extended timestamp is composed of the four parts:

- (1) **Lock**. Lock is stored in the highest bit and is used to achieve the atomic modification of the extended timestamp.
- (2) **Tombstone**. Tombstone is stored in the second bit and is used to indicate whether the data entry has been deleted.
- (3) **Update timestamp** (*uts*). The *uts* is stored in the next 10 bits; it is used for LSN computation.
- (4) **Timestamp**. The lowest 52 bits store the original timestamp.

We introduce how to set the extended timestamp for a data point in three cases: (1) For a data point newly inserted by a transaction, its extended timestamp is the same as that without using our extended timestamp data structure; its highest 12 bits are all 0s. (2) For a data point read by a transaction, the lock bit needs to be checked to determine whether the data can be read. If the lock bit is 1, the transaction needs to wait until the lock bit becomes 0. Otherwise, the data point can be read directly. (3) For a data point updated or deleted by a transaction, the extended timestamp is updated according to the LSN computation protocol (Details in Sec. 4.1.2).

4.1.2 **LSN Computation Protocol**. The LSN computation protocol computes LSNs for transactions. We differentiate among three types of transactions based on their operations: insert-only transactions, read-only transactions, and update transactions. Transactions that exclusively consist of insert operations are referred to as insertonly transactions. Similarly, transactions that exclusively consist of read operations are referred to as read-only transactions. While transactions that include update or delete operations are referred to as update transactions. Let *DP* denote the set of data points accessed by a transaction *X*. Let *dp* be a data point in *DP*, and let *dp.uts* be the update timestamp of *dp*. We compute an LSN as follows:

- Rule 1: The extended timestamp of newly inserted data is 0.
- **Rule 2:** For an insert-only transaction, the LSN of the corresponding log entry is set to 0.
- **Rule 3:** For an update transaction, the LSN of the corresponding log entry is computed as  $LSN = \max_{dp \in DP} dp.uts + 1$ .

- **Rule 4:** Before a transaction is committed, the *uts* of all modified data points are atomically updated to the LSN of the corresponding log entry.
- **Rule 5:** When a checkpoint is performed, all extended timestamps are set to 0.

Rule 1 ensures that the extended timestamp of newly inserted data is the same as that without using our extended timestamp. Rule 2 ensures that no dependencies exist between insert-only transactions since the LSNs of these transactions are all equal to 0. The third and fourth rules ensure that transactions with dependencies are serialized. The last rule avoids overflow of the extended timestamps.

Next, we detail how to compute LSNs for update transactions: (1) Read the extended timestamps and compute an LSN. If any lock bit of the extended timestamps is 1, the transaction needs to wait. Otherwise, we compare the *uts* values of all data points in *DP* to compute the maximum *uts*. Then the LSN of the corresponding log entry is computed by LSN =  $\max_{dp \in DP} dp.uts + 1$  according to Rule 3; (2) Obtain the lock. We read the lock bits of all modified data and check them one by one. If it is 0, the lock bit is set to 1. Otherwise, the transaction is terminated to avoid deadlocks; (3) Modify the extended timestamp. The current *uts* values are compared with those read at the first step. If they are different, another transaction has modified the data, and the current transaction is terminated. If all *uts* values are the same then we modify them to the LSN computed in the first step and set the lock bit to 0. For the deleted data point, the tombstone bit is set to 1.

The LSN protocol is similar to OCC and is suitable for scenarios where transaction conflicts are infrequent. Since there are very few update transactions in TSDBMSs, the LSN protocol is able to compute LSNs efficiently while guaranteeing correctness. We demonstrate the correctness of the LSN protocol by considering two cases. In the first case, where different transactions update the same data, the LSN protocol ensures that the LSNs are computed according to the order of the transaction commits. In the second case, where different transactions update different data, since there are no dependencies between transactions, redo can be performed in any order to recover the database to a consistent state. Note that when the same data is updated repeatedly by many transactions, the extended timestamp may overflow. To handle this rare situation, we call the checkpoint process to write all dirty data in DRAM to permanent storage and reset the extended timestamps of all data. The details of the checkpoint are covered in Sec. 4.5.

We illustrate the LSN computation process in Fig. 5. The worker threads execute transactions  $X_i, X_{i+1}, \ldots, X_{i+5}$  concurrently and the logical order is the same as the transaction IDs. At first, thread 1 and thread 2 execute transactions  $X_i$  and  $X_{i+1}$ , respectively. Then,  $X_{i+2}$ ,  $X_{i+3}$ , and  $X_{i+4}$  are executed concurrently. For clarity, we assume that  $X_i, X_{i+1}$ , and  $X_{i+3}$  insert six data points (A to F) into database in sequence and the initial status is shown in state 1. As transaction  $X_{i+2}$  updates data A and D, and A.uts = D.uts = 0, the LSN is computed by LSN =  $max\{A.uts, D.uts\} + 1 = 1$ . Then it sets the lock bit to 1 and updates A.uts = D.uts = 1, as shown in state 2. Meanwhile, transaction  $X_{i+4}$  that runs concurrently with  $X_{i+2}$  in thread 2 has to abort because it finds that the lock bit of data point D has been set to 1. Once  $X_{i+2}$  commits, it resets the lock

bit of data A and D. Next, the database schedules thread 2 to reexecute transaction  $X_{i+4}$  and thread 3 to execute transaction  $X_{i+5}$ concurrently. At this time,  $X_{i+4}$  finds that B.uts = 0 and D.uts = 1. So, the LSN of its transaction log entry is computed by LSN =  $max\{B.uts, D.uts\} + 1 = 2$  according to the protocol, and then B.uts = 2, and D.uts = 2, as shown in state 3. The tombstone bit of data point B is set to 1, indicating that this data point has been deleted. For transaction  $X_{i+5}$ , it computes LSN = 1 in the same way as transaction  $X_{i+2}$ . Note that the LSN protocol allows log entries to have the same LSNs. Although the LSNs of the two log entries for transactions  $X_{i+2}$  and  $X_{i+5}$  are the same, the database can still be recovered to a consistent state by replaying the log entries in the order of the LSNs. This is because these two transactions update different data. In addition, although transaction  $X_{i+4}$  has dependencies with the previous committed transaction  $X_{i+2}$ , the LSN protocol ensures that the LSN of  $X_{i+4}$  exceeds that of  $X_{i+2}$ . Therefore, the recovery in ascending LSN order ensures correctness.

# 4.2 Relaxed Ordering Strategy

We proceed to discuss how to persist log entries in NVM efficiently. In relational and key-value databases, the *sfence* instructions ensure that log entries and transaction commits are ordered consistently for update-heavy transactions. However, a set of *clwb* and *sfence* instructions adds about 250ns latency on average [53], compared with 90ns latency on average for NVM writes [43]. In fact, transactions in TSDBMSs are insert-heavy, and the *sfence* instruction is not needed between log entries of two insert transactions in order to guarantee atomicity and correctness.

To reduce the number of sfence instructions, a straightforward method is to remove sfence instructions from log entries of insert transactions and let the CPU schedule the persist order. Fig. 6 illustrates the straightforward method through an example. We only keep the sfence instruction in the log entry of update transaction  $X_{i+2}$ . So, the log entries  $\mathbb{L}_i$ ,  $\mathbb{L}_{i+1}$ , and  $\mathbb{L}_{i+2}$  are written to NVM concurrently, which avoids the serialization of transactions  $X_i$  and  $X_{i+1}$ . Note that the *sfence* instruction requires  $\mathbb{L}_{i+3}$  to be persisted after  $\mathbb{L}_{i+2}$ , which results in transaction  $X_{i+3}$  being blocked until  $X_{i+2}$  is committed. However, there is no dependency between transactions  $X_{i+2}$  and  $X_{i+3}$ , and these two transactions may have been executed concurrently. From the above example, we can see that the straightforward method only solves the serialization issue between transactions  $X_i$  and  $X_{i+1}$  caused by the strict ordering strategy, while it is unable to address the serialization issue between transactions  $X_{i+2}$  and  $X_{i+3}$ .

**Log Queues**. To solve the above problem, we differentiate log entries according to their LSNs and buffer them in different log queues. Specifically, we logically maintain n log queues  $q_i$  ( $i \in [1, n]$ ) of capacity l, which are initialized to be empty. When a transaction executes, we first check the *uts* of the accessed data and then compute the LSN of the log entry using the data-driven LSN. Once the transaction is committed, the transaction type can be determined by the LSN. If LSN = 0, it is an insert-only transaction, and the log entry is buffered in  $q_i$ . Otherwise, we buffer the log entry in  $q_i$  and close the current log queue  $q_i$  so that subsequent log entries are written to the next log queue  $q_{i+1}$ . Log entries are buffered in n log queues in a looping manner, i.e., when  $q_n$  is closed,

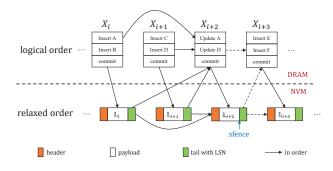


Figure 6: Relaxed Ordering

the subsequent log entries are buffered in  $q_1$ . The *n* log queues form a circular linked list-like structure.

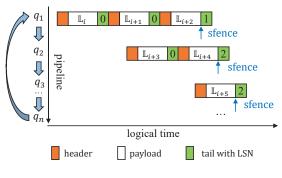
**Log Flushing Pipeline**. Next, we discuss how to persist log entries in log queues to NVM. The straightforward approach is to flush log entries based on the log queue ID, but this approach also results in serialization due to the *sfence* instructions. To reduce the performance degradation caused by *sfence* and maximize the byte-addressable capability of NVM, we propose a log flushing pipeline to persist log entries concurrently, which reduces the waiting caused by *sfence* instructions.

We illustrate how the log flushing pipeline works with a running example. In Fig. 7, each log entry in Fig. 5 is generated in the order in which the corresponding transaction is executed. Log entries  $\mathbb{L}_i$  and  $\mathbb{L}_{i+1}$  with LSN = 0 are first buffered in  $q_1$ . Once  $\mathbb{L}_{i+2}$  with LSN = 1 arrives in  $q_1$ , we temporarily close  $q_1$ . The following entries  $\mathbb{L}_{i+3}$  and  $\mathbb{L}_{i+4}$  are buffered in  $q_2$ . As the LSN of  $\mathbb{L}_{i+4}$  is 2, we temporarily close  $q_2$  and buffer  $\mathbb{L}_{i+5}$  in  $q_3$ . The closed queues are reopened after the log entries in each queue have been persisted in NVM. Specifically, we start from  $q_1$  and use the *clwb* instruction to persist  $\mathbb{L}_i$ ,  $\mathbb{L}_{i+1}$ , and the head and payload of  $\mathbb{L}_{i+2}$ . Note that transaction  $X_2$  cannot commit until the tail of  $\mathbb{L}_2$  is persisted. Once the *sfence* instruction in  $q_1$  is executed, the logging thread concurrently flushes  $\mathbb{L}_{i+3}$  and the head and payload of  $\mathbb{L}_{i+4}$ in  $q_2,$  while waiting for the tail of  $\mathbb{L}_{i+3}$  in  $q_1$  to be persisted. After the *sfence* instruction in  $q_1$  completes, the tail of  $\mathbb{L}_{i+2}$  in  $q_1$  is persisted in NVM, and the transaction  $X_2$  commits. This way,  $X_{i+3}$  commits before  $X_{i+2}$  commits , which avoids the unnecessary dependency between them, as shown in Fig. 6. Meanwhile, the sfence instruction in  $q_2$  ensures that the last log entry  $\mathbb{L}_{i+2}$  in  $q_1$  is persisted before the last log  $\mathbb{L}_{i+4}$  in  $q_2$  persisted, which guarantees that the order of log entries persisted in NVM are the same as the logical order of the transactions. The following log queues flush log entries in the same manner, which composes a log flushing pipeline.

# 4.3 Parallel logging

We proceed to introduce the thread snapshot based parallel logging method, which is able to further improve logging performance with less multi-threaded synchronization overhead.

Parallel logging has been proposed in recent studies [26, 36, 38, 67, 72, 79]. However, only few commercial database systems distribute log entries in parallel threads within a single node, and even distributed commercial database systems still opt for a shared log [31]. Because dependencies among transactions are frequent in



**Figure 7: Log Flushing Pipeline** 

OLTP systems, it is almost infeasible to track them. If the parallel logging is implemented in OLTP systems, most transactions need to flush multiple log entries at commit time, and the synchronization among logging threads results in performance degradation. However, dependencies among transactions in TSDBMS are rare, and the data-driven LSN can capture dependencies efficiently, which makes parallel logging attractive.

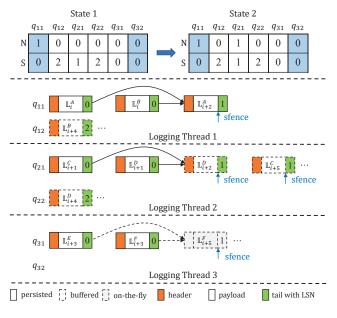
4.3.1 **Key-based Partitioning**. Existing parallel logging takes two main forms: transaction-based partitioning and page-based partitioning, which are designed for the performance optimization of OLTP systems. However, they are not appropriate for TSDBMSs. On the one hand, the vast majority of transactions in TSDBMSs are insert-only transactions, and our data-driven LSN is able to make these transactions independent. So there is no need to apply transaction-based partitioning for thread synchronization. On the other hand, time series data points with the same keys are usually stored continuously. Since the collection periods of data points with different keys are not always the same, their storage footprints differ. Therefore, these data points may cover multiple pages or may be stored in the same page as others. It is difficult for page-based partitioning scheme to efficiently handle both scenarios.

To overcome these shortcomings, we implement a key-based partitioning method [58] that records a log entry for each insert or update operation in a transaction. Specifically, for each time series data point accessed by a transaction, we use the measurement, tag key, and field key as the key, which is recorded in each log entry. Further, we use hash mapping to map the log entries in transactions based on their keys to disparate logging threads. However, the keybased partitioning scheme still has two problems: 1) Redo needs to be executed based on transactions across multiple log files during the recovery process, which entails time-consuming log parsing. 2) The log entries for the same transaction need to be recorded in multiple log files and persisted simultaneously, which results in additional thread synchronization overhead. To address the first problem, since the dependencies of transactions can be tracked by data-driven LSNs, we can redo logs at the granularity of operations in LSN order, rather than at the granularity of transactions. The details of recovery are covered in Sec. 4.6. To address the second problem, we propose a thread snapshotting approach that alleviates the synchronization overhead.

4.3.2 **Thread Snapshotting**. When log entries of an update transaction are to be persisted in NVM, we obtain the LSNs of all log entries buffered in each log queue, which is called a thread snapshot. Then we compare the LSNs of the currently processed log entry with the thread snapshot. If the former is the largest, this means that the log entries that have dependencies with the current log entry have not been persisted. So the current log entry needs to wait. Otherwise, we compare the number of persisted log entries with LSN > 0. If the number is consistent in the snapshot, the current log entry must wait. Otherwise, the current log entry can be persisted in NVM directly. Unlike latch-based synchronization methods [49], the thread snapshot based method is latch-free and enables efficient persisting of log entries for update transactions without blocking independent log entries in other log queues.

Specifically, given *m* logging threads, we maintain *n* log queues for each thread. To obtain the thread snapshot efficiently, we maintain a log number array N of size  $m \times n$  in DRAM, which is used to record the number of update transaction log entries in each log queue that have already been persisted in NVM. We also maintain an LSN array S of size  $m \times n$  in DRAM, which is used to record the LSN of the log entries in each log queue that have not been persisted in NVM. Both of these are initialized as N = S = [0, ..., 0]. We note that when insert-only transaction log entries are processed, Nand S are not updated. When a log entry with LSN > 0 is buffered in a log queue  $q_{ij}$ ,  $i \in [1, m]$ ,  $j \in [1, n]$ , we record  $S_{ij} =$  LSN. Once the log entry is persisted in NVM,  $N_{ij}$  is incremented by 1. Then we update  $S_{ij} = 0$  because each log queue only has one log entry with LSN > 0 according to the relaxed ordering strategy.

Next, we illustrate how to use a thread snapshot to handle synchronization for parallel logging with an example. In Fig. 8, three logging threads, each with two log queues, are used to process the log entries for transactions in Fig. 5. Solid lines indicate that log entries have been persisted in NVM, dotted lines represent entries are still being processed in the buffer, and gray indicates that the log entry has not been generated yet. For clarity, we show the data recorded in each log entry using a superscript. We map the log entries by the key-based partitioning so that data points A and B are mapped to logging thread 1, C and D to thread 2, and E and F to thread 3. Consider the case where  $\mathbb{L}_{i+4}^B$  in log queue  $q_{12}$  is to persist in NVM; its LSN is 2. We temporarily neglect the log entry  $\mathbb{L}_{i+5}^c$  in  $q_{21}$ . First, logging thread 1 reads the current thread snapshot, which is shown as state 1. Since the log entry  $\mathbb{L}_{i+2}^A$  has been persisted in NVM, we have  $\mathcal{N}_{11} = 1$ . As the LSNs of  $\mathbb{L}_{i+4}^B$ ,  $\mathbb{L}_{i+2}^D$ , and  $\mathbb{L}_{i+4}^D$  are 2, 1, and 2, respectively, we have  $\mathcal{S}_{12} = \mathcal{S}_{22} = 2 > \mathcal{S}_{21} = 1$ . Therefore, we know that the log entries in the other log queues whose LSN is smaller than that of  $\mathbb{L}^B_{i+4}$  have not been persisted in NVM. Logging thread 1 needs to wait and reads the thread snapshot in a loop. After  $\mathbb{L}_{i+2}^D$  is persisted in NVM, the log entry  $\mathbb{L}_{i+5}^C$  is buffered in  $q_{21}$ exactly. Logging thread 1 reads the updated thread snapshot shown as state 2. Although  $S_{21}$  is smaller than  $S_{12}$  at this time, the former log entry  $\mathbb{L}_{i+2}^D$  has been persisted in NVM, and the data-driven LSN protocol ensures that  $\mathbb{L}_{i+5}^C$  in  $q_{21}$  has no dependency with  $\mathbb{L}_{i+4}^B$  in  $q_{12}$ . Therefore,  $\mathbb{L}_{i+4}^B$  can be persisted safely in NVM.



**Figure 8: Snapshot** 

## 4.4 Log Compression and Alignment

We proceed to introduce the log compression algorithm and the log alignment based group commit method that aim to further improve logging performance.

4.4.1 **Compression**. With large volumes of time series data continuously being inserted into a TSDBMS, the corresponding log entries need to be persisted in NVM, which requires considerable storage. In addition, the write endurance of Optane PMem is eight orders of magnitude lower than DRAM [43]. If such large scale log entries are persisted in NVM, the lifetime of NVM is reduced. Therefore, log compression is crucial for a TSDBMS to reduce the storage footprint and extend the lifetime of NVM. We observe that data in each log entry exhibits the following characteristics:

- The metadata that includes page ID, segment position, LSN, etc., are all integers. Only the key of the time series data is a string.
- (2) The number of keys is relatively small and stable in a TSDBMS.
- (3) For time series data with the same key, the collection interval is usually fixed, and the range of value fluctuations is relatively small.

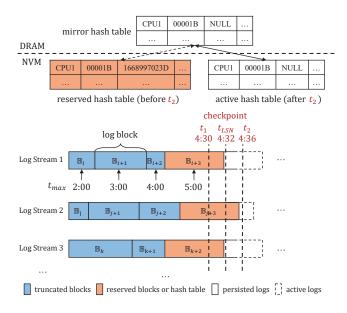
By exploiting the characteristics of time series data, we adopt the delta-of-delta and XOR compression algorithms to compress the numerical values [58]. These two algorithms are effective at compressing continuously varying data. Therefore, they are likely to work well for time series data with the same key. However, log entries are persisted in LSN order and not classified by keys. So it is challenging to achieve the best performance of the two compression algorithms. To compress the time series data in logs according to its key, we construct a hash table for keys in NVM and maintain a mirror of the hash table in DRAM, which is used to classify and compress data based on their keys. The key of the hash table is the key of the time series data, and the values of the hash table contain compressed string encoding, integer field, floating-point number field, floating-point number encoding, and log metadata which belongs to the first log entry with this key. The integer field is used for delta-of-delta timestamp compression, and the two floatingpoint number fields are used for the XOR values of floating point number. Except for the string encoding, all other fields are cleared after each checkpoint. The hash table is first created in NVM and then synchronized to the DRAM mirror. Thus, the reading of the hash table during log compression is completed entirely in DRAM. For each log entry  $\mathbb{L}_i$ , a 1-bit compression flag is added to indicate whether the entry is compressed. Before the log entry is persisted, we first check whether the key of the data exists in the hash table. If it exists, we read the original data from the hash table, compress the entry, and set the compression flag to 1. Otherwise, we first set the compression flag of the entry to 0 and persist the current log entry in NVM. Then we update the corresponding entry in the hash table, persist it in NVM, and synchronize the DRAM mirror.

4.4.2 Group Commit with Log Alignment. The Optane PMem hardware works internally on 256-byte blocks, while a typical CPU cache line is 64 bytes. So persisting log entries to NVM in 64 bytes causes about four times the write amplification. Further, the write performance of NVM in 64 bytes is about four times worse than that in 256 bytes [63]. Hence, we align the log entries in 256 bytes after they are compressed to maximize the performance of NVM with slightly larger space overhead. Specifically, for each log queue in a logging thread, we merge all log entries whose length are below 256 bytes into it. Until the total data size approaches 256 bytes or its multiple, we pad the log entry with 0s at the end to align it to 256 bytes. After this, we persist the log entries to NVM, and commit the corresponding transactions in groups [59]. With 256 bytes alignment, the starting address of a log entry that exceeds 256 bytes is a multiple of 256 bytes, which avoids the use of LSNs to compute the offset of each log entry in NVM. Note that with the alignment, the size of aligned log entries may not be equal to 256 bytes or multiples of it in most cases, which results in a slight storage footprint waste. However, without alignment, a log entry smaller than 256 bytes is persisted to NVM as complete 256-byte data, which causes write amplification and performance degradation.

# 4.5 Checkpoint

With the surge of data in a TSDBMS, the storage footprint of logs continuously increases, which leads to longer recovery times. Further, the LSN protocol may cause LSN overflows when the same data point is updated intensively. While this is rare, it still needs to be handled. Therefore, we periodically execute a checkpoint process to write dirty data in DRAM to permanent storage and purge outdated log data. In addition, we log the checkpoint and reset the extended timestamp. However, these operations block transactions, which affects the performance of a TSDBMS. To tackle this problem, we design a checkpointing process that does not block insert transactions to alleviate the performance degradation. The main difference from standard checkpointing is the process to record checkpoint log.

**Checkpoint Log**. The checkpoint log has four parts: (1) The time period  $[t_1, t_2]$ , where  $t_1$  and  $t_2$  denote the start and end time of the checkpoint, respectively; (2) the offsets of log blocks within



**Figure 9: Checkpoint** 

 $[t_1, t_2]$ ; (3) the LSN update time  $t_{LSN}$ ; and (4) the hash table. The creation of the checkpoint log encompasses four steps: (1) After blocking update transactions, we write dirty data to permanent storage, and record the start time  $t_1$  of the checkpoint; (2) Outdated logs are purged and log entries in each log queue are persisted in NVM. The offsets of current processing log blocks are recorded in the checkpoint log; (3) The extended timestamp of all updated data in DRAM is set to 0. The insert-only transactions can continue to be executed while update transactions must be blocked at this point. The completion time  $t_{LSN}$  of this step is recorded in the checkpoint log; (4) A copy of the active hash table in DRAM is persisted in NVM for recovery. The active hash table is reset except for the string encoding of the key, and all other fields are cleared. We record the end time  $t_2$  at this step. After the checkpoint log is persisted, both of insert and update transactions can be executed and the new hash table is used to compress logs from time  $t_2$ .

In Fig. 9, we illustrate the checkpoint process with an example. (1) The checkpoint starts at time  $t_1 = 4 : 30$  which is persisted to NVM as part of the checkpoint log, and all time series data prior to  $t_1$  is also flushed to permanent storage. (2) Outdated logs are purged. Taking the log stream 1 as an example, since the maximum timestamp of the data in log blocks  $\mathbb{B}_i$ ,  $\mathbb{B}_{i+1}$ , and  $\mathbb{B}_{i+2}$  is less than  $t_1$ , these log blocks are purged directly. As the maximum timestamp of the log entries in the log block  $\mathbb{B}_{i+3}$  exceeds  $t_1$ ,  $\mathbb{B}_{i+3}$  needs to be preserved as part of the checkpoint log. Next, all the log entries in log queues are persisted in NVM, and the offset in  $\mathbb{B}_{i+3}$  is recorded in the checkpoint log. (3) All extended timestamps are set to 0, and the completion time  $t_{LSN} = 4:32$  is recorded. (4) The active hash table is copied in NVM first, after which all fields are cleared except for the string encoding. When the end time  $t_2 = 4:36$  is recorded in the checkpoint log, we synchronize the mirror hash table with the active one in NVM and the checkpoint has completed.

## 4.6 Recovery

DecLog takes the no force/no steal policy and records redo logs which are compressed and aligned, so we divide the recovery for DecLog into two steps: 1) Log parsing. Log entries are read from NVM and decompressed. 2) Log replay. The most recently committed changes are recovered by the information stored in logs. As logs of insert-only transactions can be replayed concurrently, we first replay these logs in parallel during log parsing, then update transaction logs are replayed in the order of LSN. Next, we introduce the main modifications made to the existing recovery method in our recovery algorithm of DecLog as follows:

**Log Parsing**. If there is no checkpoint log, log parsing process starts from the first log block, and the active hash table in NVM is used to decompress logs directly. Otherwise, we obtain the offsets of log blocks from the checkpoint log and start from the offsets to read logs. Next, we introduce how to parse logs and simultaneously replay insert transaction logs.

We create one thread for log parsing and multiple threads to replay logs of insert transactions. To buffer the log entries of update transactions based on LSN, we create a set of buckets whose number is equal to the maximum LSN. In addition, the hash table in the checkpoint is used to decompress log entries with data timestamps before  $t_2$ , and the active hash table is used to decompress log entries with data timestamps after  $t_2$ . Specifically, let *addr<sub>i</sub>* denote the offset of a log block recorded in the checkpoint, and  $addr_i$  denote the current address of the log entry that is read by log parsing thread. At initialization,  $addr_i$  is equal to  $addr_i$ . The log parsing thread reads log entries from  $addr_i$  to  $addr_i + 256$  and decompresses them by the hash table. Meanwhile, it sends log entries with LSN = 0 to log replay threads, and log entries with LSN > 0 are buffered in the corresponding bucket. If log parsing thread encounters an end flag,  $addr_i$  is updated by  $addr_i = addr_i + 256$ . Otherwise, log parsing thread continues to read n consecutive 256 bytes until the end flag is encountered and  $addr_i$  is updated by  $addr_i = addr_i + n \times 256$ . This process continues until *l* consecutive data blocks of 256 bytes are all 0s, where *l* is the length of log queue. As transactions are committed in group. If log entries parsed from a 256-byte aligned block are incomplete, none of them needs to be replayed.

**Log Replay**. In this step, we describe how to process update transaction logs. Since the data-driven LSN captures the dependencies between transactions and computes LSN monotonously, update transaction log entries with the same LSN indicate that there are no dependencies between these transactions. Therefore, we can replay update transaction logs in the order of LSN and the correctness is guaranteed. Specifically, we divide the update transaction logs in the buckets into two parts, with one part before  $t_{LSN}$  and the other part after  $t_{LSN}$ . Then we create a single thread to replay the update transaction logs of the two parts in the order of LSN respectively.

# **5 EVALUATION**

We report on extensive experiments on the benchmark YCSB-TS that offer insight into the performance of DecLog.

# 5.1 Experimental Settings

We implement DecLog on top of the open source TSDBMS Beringei [22]. Due to the lack of support for update and delete operations

**Table 1: Workloads Profile** 

Parametres	Workloads			
1 arametres	А	В	С	D
insert	100%	90%	90%	90%
read	0%	9.9%	9%	9%
update	0%	0.1%	1%	1%
$\theta$ of zipfian	-	0.9	0.9	1.1

in Beringei, we modify the source codes to implement these functions. DecLog is implemented in C/C++ and compiled by GCC/G++ 5.5.0 with -O2. We conduct all experiments on a two-socket server running Ubuntu 18.04 LTS with two Intel Xeon Gold 6326 @ 2.9 GHz CPUs, each of which has 16 cores and 32 threads. To avoid the CPU context switching overhead, we close CPU hyper-threading and each thread is bound to its corresponding CPU core via affinity. Each CPU is equipped with 4 channels of Intel Optane DC Pmem DIMMs 200 Series (128 GB per channel, 1 TB total) and 4 channels of DDR4 memory (3200 MHz, 128 GB total). The hard disk comprises of 4 Nand Flash SSDs (4 TB total) and 3 HDDs (7.2 TB total). In addition, we use the libpmem of Intel Persistent Memory Development Kit (PMDK) library [7] to manage NVM.

**Competitors**. We implement and compare five logging methods: (1) **DecLog**: our proposal in NVM; (2) **DecLog-SSD**: the logs of DecLog are persisted in SSD; (3) **Beringei-NVM**: the logs of Beringei are persisted in NVM by a strict ordering strategy of centralized logging; (4) **Beringei-SSD**: the logs of Beringei are persisted in SSD; (5) **No-logging**: the logging module of Beringei is disabled.

**Parameters**. The number of logging threads in DecLog is set to 4. Each thread is dedicated to a single channel of NVM, and each thread is composed of 2 log queues with log queue length of 300.

Workloads. We use the time series benchmark YCSB-TS [2] to test each performance metric of all proposals. To evaluate the performance of update transactions, we modify the Core Workload of YCSB-TS to generate workloads in accordance with a given ratio for insert, read, and update transactions. Then we vary the portion of transactions and follow the widely-used Zipf distribution [25] to generate four workloads (workload A-D). Each transaction in the workloads has 10 operations. The parameter settings for the four workloads are shown in Table 1, where  $\theta$  represents the parameter of the Zipf distribution. Compared with workload C, there are more transactions that access the same data in workload D. So that workload D presents a higher level of transaction conflict. Each data point has a total size of 50 bytes, which comprises an 8-byte timestamp, an 8-byte data field, and a 34-byte character field. The total size of the data is 18GB, which consists of approximately 400M individual data points.

# 5.2 Overall Performance

We conduct a comprehensive performance study for our proposals in terms of transaction throughput, scalability, commit latency, and recovery, respectively.

**Transaction Throughput**. We compare the transaction throughput of five approaches on all workloads. As shown in Fig. 10(a), we make the following observations: (1) For the workload A–D, the transaction throughput of DecLog is  $1.1\times$ ,  $4.2\times$ ,  $4.5\times$ , and  $4.6\times$  that

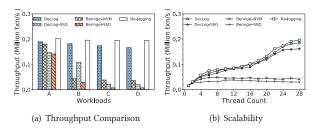


Figure 10: Throughput Comparison of Logging Strategies

of Beringei-NVM, respectively. Compared with Beringei-SSD, the transaction throughput of DecLog-SSD is improved by  $1.1 \times, 3.8 \times,$ 3.1×, and 2.7× on the four workloads, respectively. The reason is that Beringei serializes transactions because of the strict ordering strategy and centralized LSN computation for each log. Conversely, DecLog and DecLog-SSD compute the LSN based on the accessed data and persist log entries in a pipeline, which allows for parallel logging and the concurrent execution of independent transactions; (2) Compared to DecLog-SSD, the throughput improvement of DecLog is 1.1×, 1.7×, 8.0×, and 8.5×, respectively. The throughput gain is beneficial from the low write latency and high bandwidth of NVM; (3) For all workloads, the transaction throughput of DecLog is comparable to that of No-logging and is decreased by 6.2%, 7.5%, 11.3%, and 15.6%, respectively, which demonstrates the effectiveness of DecLog for optimizing logging performance; (4) Compared with workload A, the transaction throughput of DecLog is decreased by 8.2% and 12.7% on workload C and workload D, respectively. This is mainly due to the increased overhead of duplicate LSN computations as the conflicts among transactions increase. However, since update transactions in TSDBMSs are typically infrequent, the effect on database performance is negligible.

**Scalability**. To evaluate the scalability of our proposals, we vary the number of transaction threads from 1 to 28 and test the corresponding throughput. The results of five approaches are shown in 10(b). We can see that as the number of threads increases, DecLog and DecLog-SSD exhibit approximately linear throughput growth, which shows good scalability. This is because that DecLog applies data-driven LSN and thread snapshot based parallel logging, which reduces the log contention overhead resulting from an increase in the number of transaction threads.

**Commit Latency**. To evaluate the commit latency of all proposals, we rank the transactions in an ascending order of their commit latency, and compute the average commit latency of the top 95% transactions. Fig. 11 shows the cumulative distribution function (CDF) of average commit latency. For the workload A–D, the average latency of DecLog-SSD is shorter than Beringei-SSD by up to 16.4%, 83.5%, 68.4%, and 62.1%, respectively. Compared to Beringei-NVM, the average commit latency of DecLog is decreased by 39.7%, 78.7%, 80.8%, and 80.2%, respectively. Compared to Nologging, the average latency of DecLog is increased by 5.2%, 8.2%, 9.9%, and 15.2%, respectively. There are two main reasons why DecLog outperforms Beringei in terms of commit latency. First, the log flushing pipeline ensures that subsequent insert transactions are not blocked by update transactions. Second, the current logging

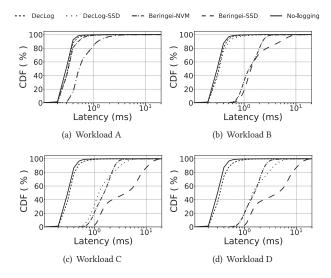


Figure 11: CDF of Transaction Latency

thread only needs to wait for the log entries that have dependencies with the currently processed log entries to be persisted by using the thread snapshot. Other logging threads are not blocked at this time, which reduces commit latency. When comparing the experimental results between different workloads, we find that compared with workload A, the average latency of DecLog on workloads C and D is increased by 8.0% and 12.1%, respectively. The main reason is that with the growing conflicted transactions, the LSN computation overhead incurred through data-driven LSN is increased, which leads to larger log persistence overhead and transaction commit latency.

Recovery. We evaluate the recovery performance of DecLog, DecLog-SSD, Beringei-NVM, and Beringei-SSD on workload A-C. After completing the execution of the three workloads, the database process is terminated. Upon restart, we record the total CPU clock time taken by the recovery process as the recovery time and the results is shown in Table 2. The average recovery time of DecLog is shorter than Beringei-NVM by 80.8%, 80.3%, and 80.6%, respectively. The average recovery time of DecLog-SSD is shorter than Beringei-SSD by 72.6%, 79.3%, and 77.2%, respectively. The reason is that Beringei-SSD and Beringei-NVM can only execute recovery based on centralized LSN in a single thread, while DecLog can achieve multi-threaded recovery by logs, which reduces the recovery time. The recovery for the workload B and workload C is slightly faster than that of workload A. This is because that there are no logs for the transactions that only include read operations. Moreover, when compared with DecLog-SSD, the average recovery time of DecLog is reduced by 28.7%, 5.6%, and 14.9%, respectively. This is mainly due to the inherent performance advantages of NVM. However, the recovery time of Beringei-NVM is comparable with that of Beringei-SSD. It indicates that if we directly apply the centralized logging and recovery design in NVM, it is unable to realize the full potential of NVM performance. Compared with DecLog, the recovery time of DecLog with checkpoint is reduced by 95.5%, 97.4%, and 95.7, respectively. The reason is that only log entries after the offsets

#### **Table 2: Recovery Time**

Logging Strategy	Recovery Time of Workloads (s)			
Logging Strategy	А	В	С	
DecLog	77.7	72.5	72.7	
DecLog-SSD	109.0	76.8	85.4	
Beringei-NVM	405.6	367.2	375.7	
Beringei-SSD	398.3	371.6	374.0	
DecLog with checkpoint	3.5	1.9	3.1	

recorded in the checkpoint need to be replayed, which alleviates the overhead of recovery.

# 5.3 Sensitivity Study

We first evaluate the effect of different logging modules in DecLog on database performance by using all workloads. Next, we conduct parameter studies on all workloads to evaluate the impacts of logging thread number, NVM channel, and log queue length, respectively.

Dissecting the Features. To evaluate the impacts of each module in DecLog on database performance, we enable all necessary logging components step-by-step, which include relaxed ordering strategy, data-driven LSN computing protocol, parallel logging, log compression and alignment, and checkpoint. The results by using the strict ordering strategy as the comparative method are presented in Fig. 12(a). We make the following observations: (1) Compared with the strict ordering strategy, the throughput gained by the relaxed ordering strategy is 19.4%, 11.4%, 13.0%, and 12.1%, respectively. The main reason is that relaxed ordering strategy avoids unnecessary sfence instructions, which thereby reduces CPU wait latency. (2) After adding the data-driven LSN module on top of relaxed ordering strategy, the throughput increases by 6.7%, 13.2%, 15.9%, and 22.3%, respectively when compared to using only the relaxed ordering strategy. This is because the data-driven LSN computing protocol avoids the contention of LSN by independent transactions. (3) After adding parallel logging, DecLog achieves higher throughput, which is 3.6×, 3.5×, 3.5× and 3.4×, respectively. The main reason is that parallel logging increases the bandwidth for persisting logs in NVM and the tread snapshot alleviates the overhead of synchronization, which improves the logging performance. (4) After adding log compression and alignment module, the transaction throughput decreases by 5.1%, 4.7%, 2.8% and 1.0%, respectively. This is because that log compression consumes additional CPU resources and incurs more computing overhead. However, from the experimental results, we can see that the logging performance loss is relatively small. This is because DecLog aligns log entries by 256 bytes, which in turn improves logging performance. In addition, logging compression reduces the amount of data persisted in NVM, which extends the lifetime of NVM. (5) After adding checkpoint, the transaction throughput is about the same for the workload A. For the workload B-D, the throughput decreases by 5.3%, 16.9%, and 16.4% on average, respectively. This is because the checkpoint consumes CPU and IO resources, which thereby affects the transaction throughput.

**Impact of Logging Thread Number**. Fig. 12(b) reports the correlation of throughput and the number of logging threads when

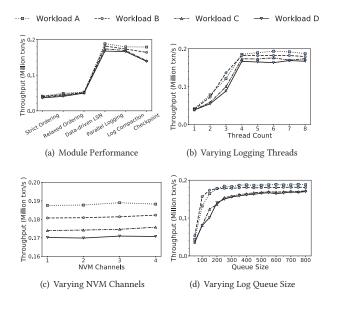


Figure 12: Performance of DecLog

we fix the transaction thread number to 28 and adjust the logging thread number from 1 to 8. The results show that the throughput increases nearly linearly with the increase of logging thread number, and reaches its peak when the logging thread number is 4. Therefore, DecLog sets the default number of logging threads as 4. By analyzing the results of each workload, we observe that: (1) For the workload A, the throughput gains largely by 4.5× when the logging thread number increases from 1 to 4. This is because the multi-threaded concurrent logging of DecLog improves the logging performance; (2) For the workload C, the throughput is enlarged by  $4.5 \times$  when the logging thread number increases from 1 to 4. But the throughput is still less than that of workload A. This is because DecLog uses the thread snapshot to synchronize logging threads. When conflicts of transactions exist, thread snapshot does not block the commitment of other transactions with no dependencies, and thus affects the throughput. (3) For the workload D, the throughput improvement is  $4.3 \times$  when the logging thread number increases from 1 to 4, which is slightly smaller than that of workload C. This is because workload D has more conflicting transactions, and the data-driven LSN computing protocol needs to perform more repeated computations. However, in practical applications, there are very few conflicts among update transactions in TSDBMS, so their impacts on database performance is trivial.

**Impact of NVM Channel**. In Fig. 12(c), we fix the logging thread number to 4 and vary the NVM channel. We can observe that the throughputs for the four workloads remain steady, as the NVM channel increases from 1 to 4. The results indicate that concurrent writes to different NVM channels do not improve system throughput significantly. This is mainly due to the high random write performance of NVM. On the one hand, the performance of a single NVM channel is already sufficient to handle logs generated by the database transaction module. On the other hand, although increasing the number of NVM channels can improve the logging

performance to some extent, DecLog has alleviated the overhead of logging in a single NVM channel. Therefore, the bottleneck of the overall system performance lies in other system modules (e.g., transaction processing and lock contention), which is beyond the scope of this study.

Impact of Log Queue Length. To evaluate the impact of log queue length on database performance, we vary the log queue length from 50 to 800 and fix other parameters as their default values. As shown in Fig. 12(d), with the log queue length increases, the throughput for each workload increases and first reaches its maximum when the length is 300. Then the throughput remains steady. The reasons mainly include two aspects. First, when the log queue length is relatively small, the log entries in each log queue can reside in CPU cache. When the log queue length reaches the threshold, the *clwb* instruction is used to flush log entries from CPU cache to NVM in parallel. With an increasing of the log queue length, the amount of log entries that are flushed by *clwb* instructions to NVM increases. Hence, the throughput of the database is improved. Second, when the log queue length exceeds 300, log entries in log queues exceed the CPU cache capacity. When the *clwb* instruction is used to flush data back to NVM, an additional operation is required to copy the data from DRAM to the corresponding NVM address, which increases the persistence overhead. Therefore, the default log queue length of DecLog is set to 300. Moreover, the setting of the log queue length is related to the average size of log entries and the size of CPU cache, so it needs to be adjusted according to the actual situation in use.

## 6 CONCLUSION AND FUTURE WORK

We design and implement a decentralized logging system DecLog in NVM for TSDBMS by utilizing the characteristics of time series data to improve the logging performance. First, we propose a data-driven LSN based on the data accessed by transactions and track the transaction dependencies. Next, we design a relaxed ordering strategy to persist log entries to NVM by using log flushing pipeline, which effectively addresses the transaction serialization issue caused by a heavy use of sfence instructions. Based on these, we propose a thread snapshot based parallel logging method to further improve the concurrency of persisting logs to NVM in multiple threads with less thread synchronization overhead. To decrease the log storage footprint and to fully utilize NVM properties, we design a log compression and alignment algorithm to process the logs. In addition, we implement checkpoint and recovery algorithms of DecLog to reduce the recovery time. The experimental study shows that the throughput of DecLog outperforms the Beringei TSDBMS by up to 4.6× with less recovery time in the YSCB-TS benchmark.

In the future, it is of great interest to extend the decentralized logging system in NVM to distributed TSDBMS by exploiting the characteristics of time series data and workloads.

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